$ 1647

PATENT
Attorney Docket No. FJN-063

/
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):	Nakagawa et al.			**RECEIVED**
SERIAL NO.:	09/051,670	GROUP NO.:	1647	MAY 1 6 2001
FILING DATE:	April 16, 1998	EXAMINER:	Romeo, D.	TECH CENTER 1600/2900
TITLE:	Novel DNA and Process for Preparing Protein Using the DNA			

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 8th day of May, 2001.
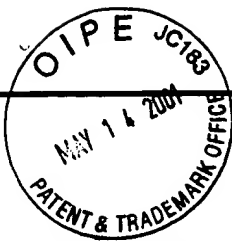
Rachel McLendon Kent

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

Transmittal Form (1 pg); Fee Transmittal (1 pg); check for $180.00; Supplemental Information Disclosure Statement (2 pgs); PTO Form 1449 (2 pgs); copies of references A3- A10, B4-B8, C9-C25 and a return receipt postcard.

2076687

TRANSMITTAL FORM

Application Serial Number	09/051,670
Filing Date	April 16, 1998
First Named Inventor	Nakagawa et al.
Group Art Unit	1647
Examiner Name	Romeo, D.
Attorney Docket No.	FJN-063
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable
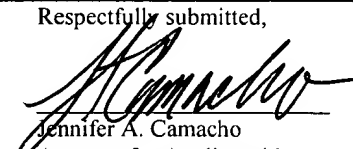
ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
  - ☒ Check Attached
  - ☐ Copy of Fee Transmittal Form
- ☐ Amendment/Response
  - ☐ Preliminary
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
  - ☐ Letter to Official Draftsperson including Drawings [Total Sheets _____]
- ☐ Petition for Extension of Time
- ☒ Information Disclosure Statement
  - ☒ Form PTO-1449
  - ☒ Copies of IDS Citations
- ☐ Certified Copy of Priority Document(s)
- ☐ Sequence Listing submission
  - ☐ Paper Copy/CD
  - ☐ Computer Readable Copy
  - ☐ Statement verifying identity of above

- ☐ Copy of Notice to File Missing Parts of Application (PTO-1553)
- ☐ Formal Drawing(s)
- ☐ Request For Continued Examination (RCE) Transmittal
- ☐ Power of Attorney (Revocation of Prior Powers)
- ☐ Terminal Disclaimer
- ☐ Executed Declaration and Power of Attorney for Utility or Design Patent Application
- ☐ Small Entity Statement
- ☐ CD(s) for large table or computer program
- ☐ Amendment After Allowance
- ☐ Request for Certificate of Correction
  - ☐ Certificate of Correction (in duplicate)

- ☐ Notice of Appeal to Board of Patent Appeals and Interferences
- ☐ Appeal Brief (in triplicate)
- ☐ Status Inquiry
- ☒ Return Receipt Postcard
- ☒ Certificate of First Class Mailing under 37 C.F.R. 1.8
- ☐ Certificate of Facsimile Transmission under 37 C.F.R. 1.8
- ☐ Additional Enclosure(s) (please identify below)

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibeault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

Date: May 8, 2001
Reg. No. 43,526
Tel. No.: (617) 248-7476
Fax No.: (617) 248-7100

Jennifer A. Camacho
Attorney for Applicant(s)
Testa, Hurwitz & Thibeault, LLP
High Street Tower
125 High Street
Boston, MA 02110

2076654

FEE TRANSMITTAL

2001

MAY 1 4 2001

Complete if Known	
Application Serial Number	09/051,670
Filing Date	April 16, 1998
First Named Inventor	Nakagawa et al.
Group Art Unit	1647
Examiner Name	Romeo, D.
Attorney Docket No.	FJN-063

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
   ☒ Check ☐ Money Order ☐ Other

2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
   ☐ Required Fees (copy of this sheet enclosed).
   ☒ Additional fee required under 37 CFR 1.16 and 1.17.
   ☒ Overpayment Credit.

3. ☐ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity

Fee ($)	Fee Description	Fee Paid
710	Utility filing fee	
320	Design filing fee	
150	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
Total Claims		- 20 =	x $ 18.00 =	
Independent Claims		- 3 =	x $ 80.00 =	
☐ Multiple Dependent Claim(s), if any			$270.00 =	

TOTAL:
SMALL ENTITY DISCOUNT:

SUBTOTAL (1)	($)	0.00

2. AMENDMENT CLAIM FEES

	Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
Total	-	=		x $ 18.00 =	
Indep.	-	=		x $ 80.00 =	
☐ First Presentation of Multiple Dep. Claim				+ $270.00 =	

TOTAL: ($)
SMALL ENTITY DISCOUNT: ($)

SUBTOTAL (2)	($)0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee ($)	Small Entity Fee ($)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
180	180	Submission of Information Disclosure Statement	180.00
710	355	Filing a submission after final rejection (37 CFR 1.129(a))	
710	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (Specify)			
Other fee (Specify)			

SUBTOTAL (3)	($) 180.00

SUBTOTAL (1)	0.00
SUBTOTAL (2)	0.00
SUBTOTAL (3)	180.00

TOTAL	($)	180.00

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